

COMPLIANCE

We are in search of a Trusted Risk Steward and a Liaison to Lead our Compliance Team

HEAD OF COMPLIANCE

The Bank is looking for a Compliance Officer to carry forward the necessary compliance architecture to manage Regulatory Compliance (RC), Anti Money Laundering (AML) and Governance Risks. He/ she will be a member of the Bank's Leadership Team and will report to CEO and Board Subcommittee on Integrated Risk Management. The job holder will be responsible to

The Job

- Act as a central point of contact with regulators such as CBSL and SEC and be a trusted liaison for regulatory management matters
- Be a trusted adviser, collaborator and a solution provider to business, to support bank's formidable growth aspirations
- Collaborate with other Risk Stewards within the bank to set the tone at the top to safeguard the bank and its reputation
- Develop and update periodically the compliance framework for the bank, based on all applicable regulatory requirements including Board Governance
- Review policies/guidelines as per the regulatory requirements & bank norms and recommend improvements in keeping with best practices, changes in local and international standards
- Provide timely updates to the Board, Management and staff on regulatory changes and their areas of impact whilst tracking changes
- Ensure that an automated robust transaction monitoring system is in place to capture all emerging risks including risks emerging from digital transactions, cyber risks and bribery & corruption
- Report speedily to Senior Management, CEO and Board on all critical and significant non compliances that emerge from compliance audits or monitoring transactions
- Be accountable for all Corporate Governance Disclosures in the Annual Report
- Work with the Compliance Officer of the Group Companies so that the same principles are adopted across NDB Group in compliance related matters
- Provide leadership to the Compliance team in making the team aware of industry practices , changes, trends and best global practices in making the team a Differentiated Team

The Person

- Be an Attorney at Law or be qualified in Banking or possess a related full qualification
- Over 15 years of experience in Banking and/or in the Financial Services sector, preferably with experience in Front end Banking and/or Senior Managerial experience in Compliance in Banking/Financial Services Sector
- Possess proven skills in Stakeholder Management including Senior Management, Regulators and Statutory Examiners
- Strong decision making backed by analytical skills
- Pay absolute attention to detail
- Convincing communication and presentation skills
- Excellent team work in harnessing the potential of the team

Those who meet the criteria may login to <https://www.ndbbank.com/careers> and apply on or before 20th May 2024. We will correspond only with the shortlisted applicants.

"We are an equal opportunity Employer"



Vice President Human Resources