



VACANCY

The Securities and Exchange Commission of Sri Lanka (SEC) is responsible for the creation, development and maintenance of a fair, orderly, efficient and transparent Capital Market whilst ensuring professional standards in the industry.

The SEC is looking for self-motivated team players with integrity, self-discipline, ethical conduct, ability to maintain confidentiality along with strong interpersonal skills for the following positions;

DIRECTOR SURVEILLANCE

Role:

The SEC is in the process of acquiring a new best-in-class real-time market surveillance system in order to enhance the efficiency and effectiveness of its surveillance function. The Director Surveillance will be responsible for overseeing the monitoring of market activities, detecting any malpractices, analyzing trades and trends to ensure compliance with the SEC Act, its rules, and regulations. The role also entails assisting with investigations and enforcement actions initiated by the SEC in cases of detected misconduct.

The successful candidate shall possess:

- A Bachelor's degree in Finance, Management, Accountancy, Statistics, Mathematics, Data Science, Financial Engineering, or Law from a recognized university, or membership in a recognized professional accounting body, or qualification as a Chartered Financial Analyst.
- A class in the Bachelor's degree and postgraduate qualifications will be an added advantage.
- A proven track record of at least 10 years of experience at senior managerial/ managerial level in market surveillance, financial analysis or a related field.
- Sound knowledge in financial regulatory activities and capital market operations.

Skills and Competencies:

- Be articulate, analytical, and decisive.
- Understanding stakeholder needs and balancing same with organizational demands.
- Extremely high on initiative, proactive and highly self-motivated.
- Excellent interpersonal skills and good verbal and written communication skills.
- Proficient in digital tools and technologies.
- Excellent collaborative skills with the ability to work effectively with cross-functional teams.

DIRECTOR CAPITAL MARKET DEVELOPMENT

Role:

The Director Capital Market Development will lead initiatives to develop the capital market, including conducting research, feasibility studies for the introduction of new products, services, and market infrastructure. The role also entails developing risk mitigation strategies, formulating policies and collaborating with international organizations to secure support and assistance in promoting the growth of the capital market.

The successful candidate shall possess:

- A Bachelor's Degree in Economics, Finance, Management, Accountancy, Statistics, Mathematics or Law from a recognised university or be an Attorney at Law or be a member of a recognised professional accounting body.
- A class in the Bachelor's degree and postgraduate qualifications will be an added advantage.
- A proven track record of at least 10 years of experience in the relevant field at a senior managerial / managerial level
- Sound knowledge in financial regulatory activities and capital market operations.

Skills and Competencies:

- Be articulate, analytical, and decisive.
- Understanding stakeholder needs and balancing same with organizational demands.
- Extremely high on initiative, proactive and highly self-motivated.
- Ability to engage in research.
- Excellent interpersonal skills and good verbal and written communication skills.
- Proficient in digital tools and technologies.
- Excellent collaborative skills with the ability to work effectively with cross-functional teams.

Age:

Candidates should be between 35 and 55 years. The upper age limit will not be applicable for internal applicants and candidates in the government sector organisations.

Please apply with names and contact details of two non-related referees, to reach the following address by registered post or e-mail your curriculum vitae to recruitments@sec.gov.lk on or before 30th December 2024 stating "the position" on the top left-hand corner of the envelope or on the subject line of the email. The selection will be by way of an interview and only the shortlisted candidates would be contacted. Canvassing in any form will be a disqualification.

**The Director General
Securities and Exchange Commission of Sri Lanka
Level 29, East Tower, World Trade Center
Echelon Square, Colombo 1
Website: www.sec.gov.lk**